

Sheet 1 of 2

| | | |
|---|----------------------------------|---------------------------|
| FORM PTO-1449 | Atty. Docket No.: S16.12-0052 | Serial No.: 09/186,810 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: | |
| | Carlyle et al. | |
| | Filing Date November 5, 1998 | Group Art: 3738 |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|----------|-------------------|-------|-----------|----------------------------|
| AA | 4,640,881 | 03-10-87 | Carpentier et al. | 623 | 11 | |
| AB | 4,786,287 | 11-22-88 | Nashef et al. | 8 | 94.21 | |

FOREIGN PATENT DOCUMENTS

| | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|----|--------------|----------|---------|-------|-----------|--------------------|
| AC | WO 95/24473 | 09-14-95 | PCT | | | |
| AD | 0 476 983 A1 | 03-25-92 | EPO | | | |
| AE | 0 506 477 A1 | 09-30-92 | EPO | | | |
| AF | 0 550 296 A2 | 07-07-93 | EPO | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|----|--|
| AG | "Accelerated Endothelialization by Local Delivery of Recombinant Human Vascular Endothelial Growth Factor Reduces In-Stent Intimal Formation" by, Bell et al., Biochemical and Bio Physical Research Communication 235, 311-316 (1997). |
| AH | "Conditional Switching of Vascular Endothelial Growth Factor (VEGF) Expression in Tumors: Induction of Endothelial Cell Shedding and Regression of Hemangioblastoma-like Vessels by VEGF Withdrawal" by, Benjamin et al., Proc. Natl. Acad. Sci. USA, Vol. 94, pp. 8761-8766, August 1997, Medical Sciences. |
| AI | "Passivation of Metallic Stents After Arterial Gene Transfer of phVEGF ₁₂₁ Inhibits Thrombus Formation and Intimal Thickening" by, VanBelle et al., JACC Vol. 29, No. 6, May 1997:1371-1379. |
| AJ | "Stent Endothelialization: Time Course, Impact of Local Catheter Deliver, Feasibility of Recombinant Protein Administration, and Response to Cytokine Expedition" by, Van Belle et al., Circulation, Vol. 95, No. 2 January 21, 1997, pp. 438-448. |
| AK | "Stimulation of Endothelial Cell Migration by Vascular Permeability Factor/Vascular Endothelial Growth Factor through Cooperative Mechanisms Involving the $\alpha_5\beta_1$ Integrin, Osteopontin, and Thrombin" by, Senger et al., American Journal of Pathology, Vol. 149, No. 1, July 1996, pp. 293-305. |

EXAMINER: Paul Prebilit

DATE CONSIDERED: 5-23-00

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 2

| | | |
|---|----------------------------------|---------------------------|
| FORM PTO-1449 | Ally. Docket No.: S16.12-0052 | Serial No.: 09/186,810 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: | |
| | Carlyle et al. | |
| | Filing Date November 5, 1998 | Group Art: 3738 |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|----------|-----------------|-------|-----------|----------------------------|
| BA | 5,147,514 | 09-15-92 | Mechanic | 204 | 157.68 | |
| BB | 5,194,596 | 03-16-93 | Tischer et al. | 530 | 399 | |
| BC | 5,607,918 | 03-04-97 | Eriksson et al. | 514 | 12 | |

FOREIGN PATENT DOCUMENTS

| | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|----|--------------|------|---------|-------|-----------|--------------------|
| BD | | | | | | |
| BE | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|----|--|
| BF | "Synergistic Effect of Vascular Endothelial Growth Factor and Basic Fibroblast Growth Factor on Angiogenesis in Vivo" by, Asahara et al., Supplemental II Circulation Vol. 92, No. 9, November 1, 1995, pp. 365-371. |
| BG | "Vascular Endothelial Growth Factor and Heparin in a Biologic Glue Promotes Human Aortic Endothelial Cell Proliferation with Aortic Smooth Muscle Cell Inhibition" by, Weatherford et al., Surgery, Vol. 120, No. 2, pp. 433-439 (August 1996). |
| BH | "Vascular Endothelial Growth Factor Inhibits Endothelial Cell Apoptosis Induced by Tumor Necrosis Factor α : Balance Between Growth and Death Signals" by, Spyridopoulos et al., J. Mol. Cell. Cardiol., Vol. 29, 1321-1330 (1997) |
| BI | "Vascular Permeability Factor/Vascular Endothelial Growth Factor Inhibits Anchorage-Disruption-Induced Apoptosis in Microvessel Endothelial Cells by Inducing Scaffold Formation", by Watanabe et al., Experimental Cell Research 233, pp. 340-349 (1997) |
| BJ | "Vascular Permeability Factor/Vascular Endothelial Growth Factor (VPF/VEGF) Delays and Induces Escape from Senescence in Human Dermal Microvascular Endothelial Cells", by Watanabe et al., Oncogene (1997) 14, 2025-2032. |

EXAMINER:

Paul Prebilic

DATE CONSIDERED: 5-23-00

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.